

Partially nondeterministic automata - Nondeterministic choice of initial states

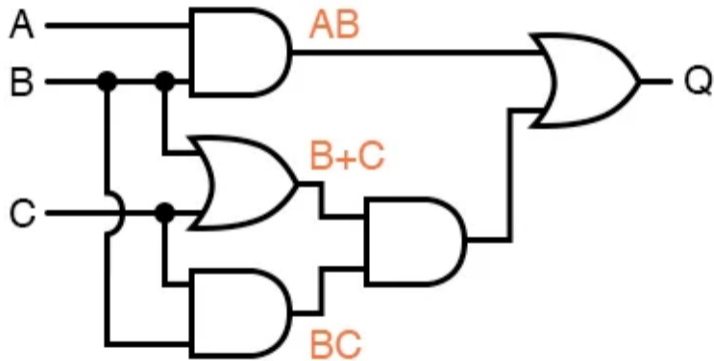
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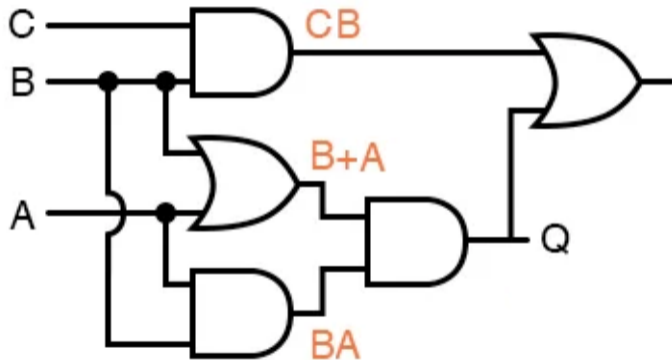
Bachelor's thesis defense

June 20, 2023

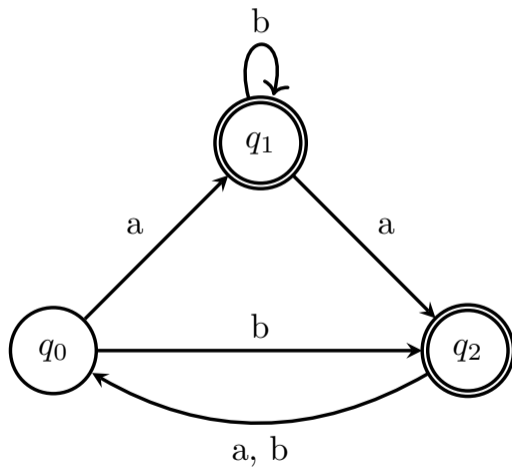
Motivation



Motivation



Motivation



Deterministic finite-state automaton (DFA)

Definition¹

Deterministic finite-state automaton (*DFA*) is a quintuple $A = (Q, \Sigma, q_0, F, \delta)$ where

- Q is a finite set of states
- Σ is a finite set of input symbols
- q_0 is a initial state, $q_0 \in Q$
- σ is a transition function, $\sigma : Q \times \Sigma \rightarrow Q$
- F is a set of final states, $F \subseteq Q$

¹ (J. E. Hopcroft, R. Motwani, and J. D. Ullman. *Introduction to automata theory, languages, and computation*. Vol. 2. Addison-Wesley, 2003. ISBN: 978-0201441246)

k-entry Deterministic finite-state automaton ($kDFA$)

Definition²

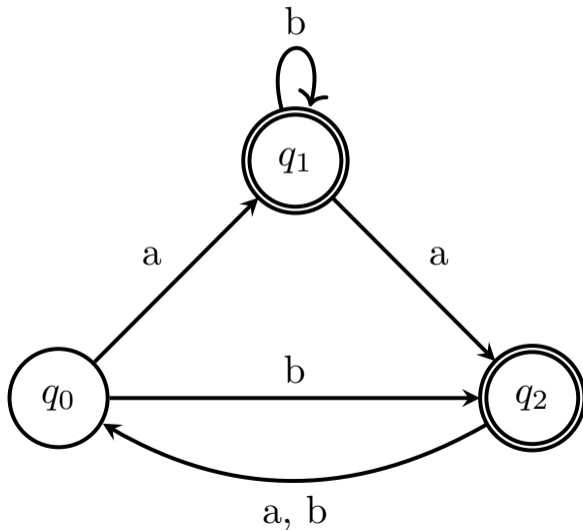
k -entry deterministic finite-state automaton ($kDFA$) is a quintuple $M = (Q, \Sigma, I, F, \delta)$ where

- Q is a finite set of states
- Σ is a finite set of input symbols
- I is a set of initial states, $I \subseteq Q$
- σ is a transition function, $\sigma : Q \times \Sigma \rightarrow Q$
- F is a set of final states, $F \subseteq Q$

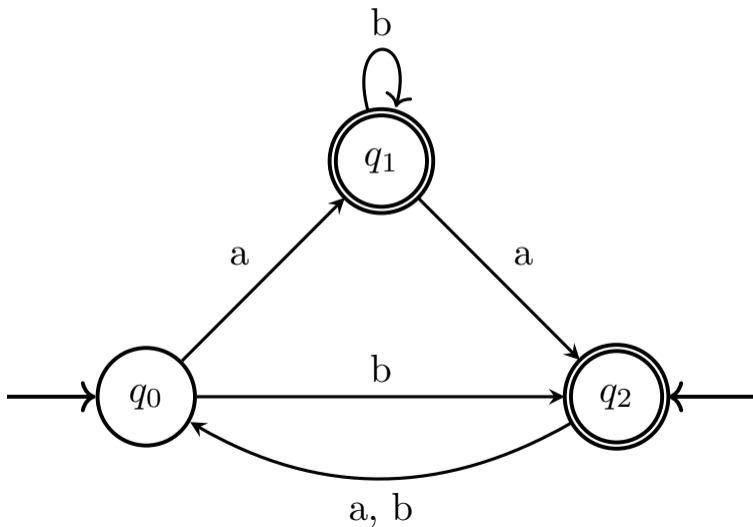
Note: The only point of non-determinism is in choice of initial states

² (M. Holzer, K. Salomaa, and S. Yu. “On the State Complexity of k-Entry Deterministic Finite Automata”. In: *Journal of Automata, Languages and Combinatorics* 6.4 [2001], pp. 453–466)

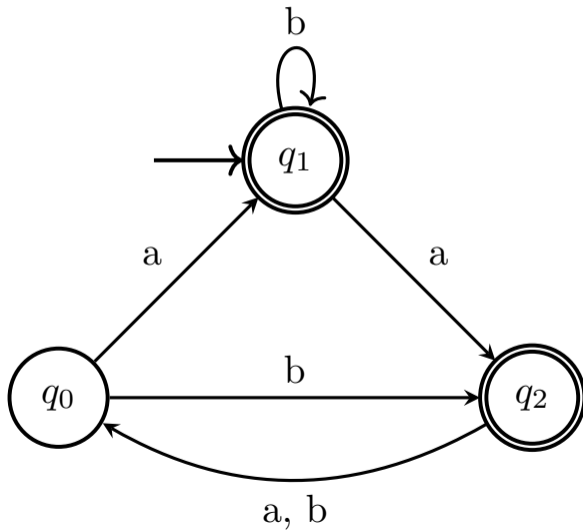
k DFA example



k DFA example



k DFA example



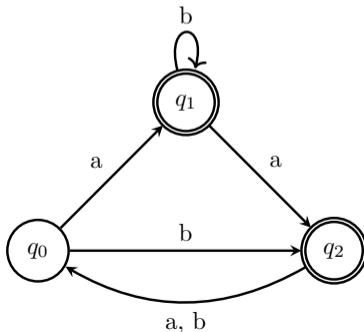
Family

Conversion of three state family to number

122100 FTT $\rightarrow (122100)_3 (011)_2$

transitions

final states



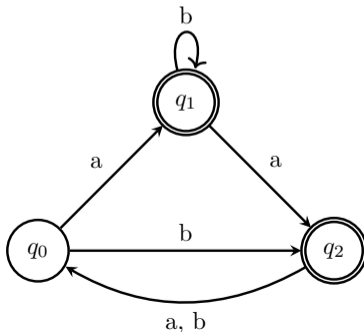
Family

Conversion of three state family to number

122100 FTT $\rightarrow (122100)_3$ (011)₂ $\rightarrow (111010100)_2$ (011)₂ $\rightarrow (111010100011)_2 = 3747_{10}$

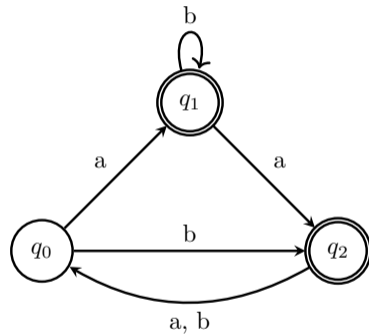
transitions

final states



Generation

Family number

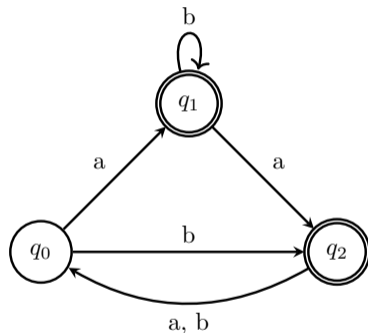


Generation

Family number

initial states	state complexity	language group
000		
001		
010		
011		
100		
101		
110		
111		

2^n
automata

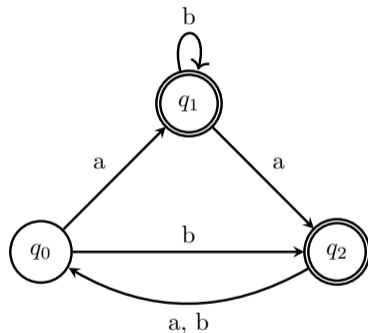


Generation

Family number

initial states	state complexity	language group
000		
001		
010		
011		
100		
101		
110		
111		

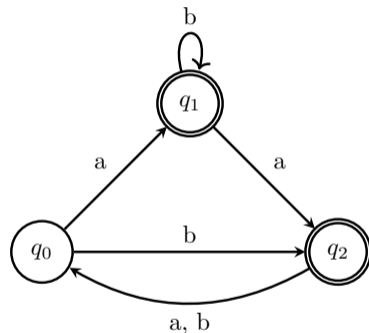
$$2^n \cdot \binom{n}{\text{unreach.}}$$



Generation

Family number

initial states	state complexity	language group
000		
001		
010		
011		
100		
101		
110		
111		

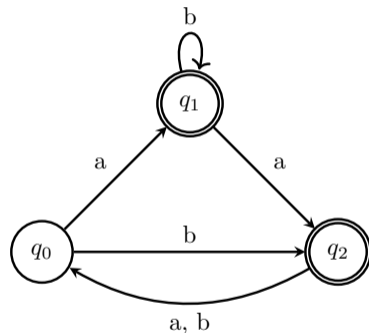


$$2^n \text{ automata} \cdot \binom{n}{\text{unreach.}} + 2^n \text{ det.}$$

Generation

Family number

initial states	state complexity	language group
000		
001		
010		
011		
100		
101		
110		
111		

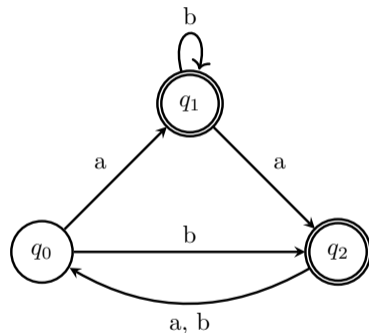


$$2^n \text{ automata} \cdot \binom{n}{\text{unreach.}} + 2^n \text{ det.} + 2^n \cdot n \text{ minim.}$$

Generation

Family number

initial states	state complexity	language group
000	1	
001	3	
010	3	
011	1	
100	3	
101	1	
110	1	
111	1	

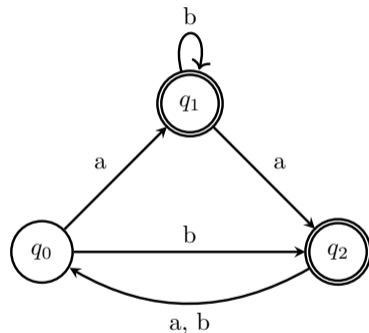


$$2^n \text{ automata} \cdot \left(\begin{matrix} n \\ \text{unreach.} \end{matrix} + 2^n \text{ det.} + 2^n \cdot n \text{ minim.} \right)$$

Generation

Family number

initial states	state complexity	language group
000	1	
001	3	
010	3	
011	1	
100	3	
101	1	
110	1	
111	1	

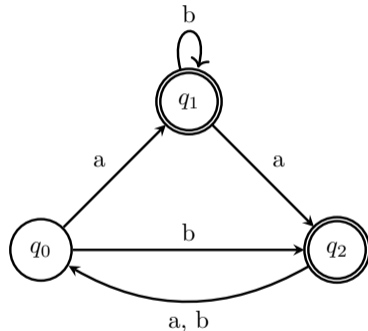


$$2^n \text{ automata} \cdot \binom{n}{\text{unreach.}} + 2^n \text{ det.} + 2^n \cdot n \text{ minim.} \cdot 2^n 2^{n-2} \text{ choice of pair}$$

Generation

Family number

initial states	state complexity	language group
000	1	
001	3	
010	3	
011	1	
100	3	
101	1	
110	1	
111	1	



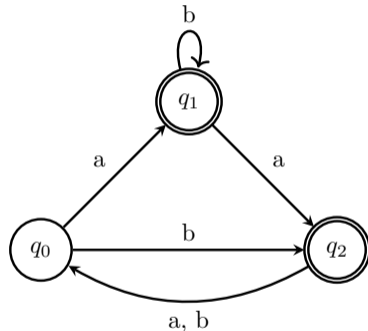
$$\begin{aligned}
 & 2^n \text{ automata} \cdot \binom{n}{\text{unreach.}} + 2^n \text{ det.} + 2^n \cdot n \text{ minim.} \cdot 2^n 2^{n-2} \text{ choice of pair} \cdot 2^{2n} 2n \text{ equivalence}
 \end{aligned}$$

Generation

Family number

initial states	state complexity	language group
000	1	A
001	3	B
010	3	C
011	1	D
100	3	E
101	1	D
110	1	D
111	1	D

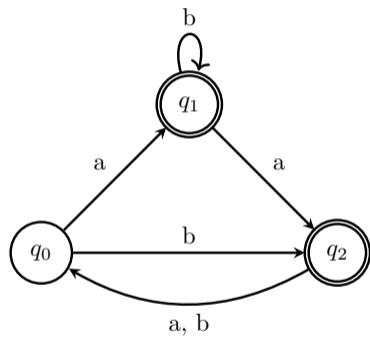
Number of distinct languages: 5



$$\begin{aligned}
 & 2^n \text{ automata} \cdot \binom{n}{\text{unreach.}} + 2^n \text{ det.} + 2^n \cdot n \text{ minim.} \cdot 2^n 2^{n-2} \text{ choice of pair} \cdot 2^{2n} 2n \text{ equivalence} \text{ up to } n \leq 4
 \end{aligned}$$

Generation

Family number

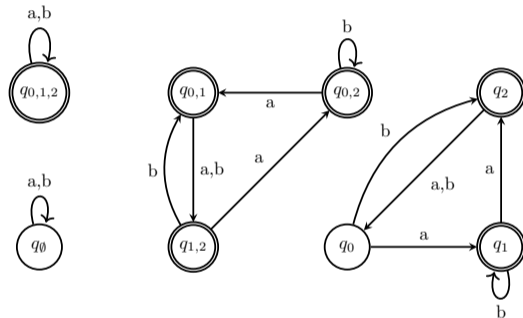


Generation

Family number

states in subset	language group	state complexity
000		
001		
010		
011		
100		
101		
110		
111		

2^n
determinization

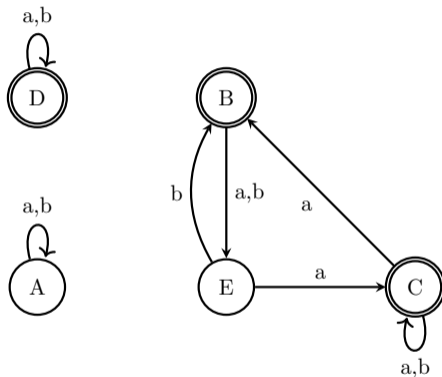


Generation

Family number

states in subset	language group	state complexity
000	A	
001	B	
010	C	
011	D	
100	E	
101	D	
110	D	
111	D	

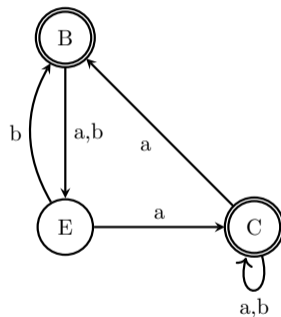
$$2^n \text{ determinization} + 2^n n \text{ minimization}$$



Generation

Family number

states in subset	language group	state complexity
000	A	
001	B	
010	C	
011	D	
100	E	
101	D	
110	D	
111	D	

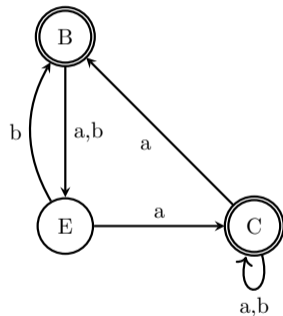


$$2^n \text{ determinization} + 2^n n \text{ minimization} + 2^n \text{ initial state}$$

Generation

Family number

states in subset	language group	state complexity
000	A	
001	B	
010	C	
011	D	
100	E	
101	D	
110	D	
111	D	

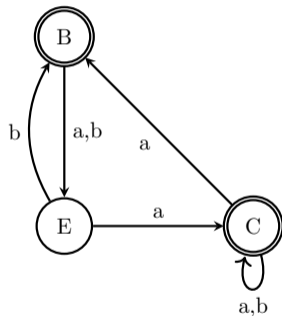


$$2^n \text{ determinization} + 2^n n \text{ minimization} + 2^n \text{ initial state} \cdot 2^n \text{ reachability}$$

Generation

Family number

states in subset	language group	state complexity
000	A	1
001	B	3
010	C	3
011	D	1
100	E	3
101	D	1
110	D	1
111	D	1



2^n determinization + $2^n n$ minimization + 2^n initial state · 2^n reachability

up to $n \leq 5$,
up to $n \leq 6$ (in 4 - 6 weeks)

Generation Comparison

$$2^n \cdot (n + 2^n + 2^n \cdot n) + 2^n \cdot 2^{n-2} \cdot 2^{2n} \cdot 2n \text{ vs. } 2^n + 2^n \cdot n + 2^n \cdot 2^n$$

- $\mathcal{O}(2^{4n-1}n)$ vs. $\mathcal{O}(2^n n)$ for number of distinct languages
- $\mathcal{O}(2^{2n}n)$ vs. $\mathcal{O}(2^{2n})$ for state complexity of languages

Computational results of average state complexity

n	average s.c. (computations)
2	1.29
3	2.09
4	3.63
5	6.20
6	10.09
7	15.70

Note: Alphabet of size 2.

Average state complexity

Lemma 1³

The minimal deterministic finite automaton accepting $L(M_{l,n})$ has $\sum_{i=1}^l \binom{n}{i}$ states.

Note: The size of alphabet does not play role in this formula.

Theorem 1

Average state complexity of a language represented by an n -state k -DFA is at most

$$\frac{\sum_{i=1}^n \left(\binom{n}{i} \cdot \sum_{j=1}^i \binom{n}{j} \right)}{\sum_{i=1}^n \binom{n}{i}}$$

³ (M. Holzer, K. Salomaa, and S. Yu. “On the State Complexity of k-Entry Deterministic Finite Automata”. In: *Journal of Automata, Languages and Combinatorics* 6.4 [2001], pp. 453–466)

Average state complexity

n	average s.c. (computations)	average s.c. (formula)	2^n (for comparison)
2	1.29	2.34	4
3	2.09	4.86	8
4	3.63	9.80	16
5	6.20	19.55	32
6	10.09	38.83	64
7	15.70	77.01	128

Note: Alphabet of size 2 (computations).

Does not depend on alphabet size (formula).

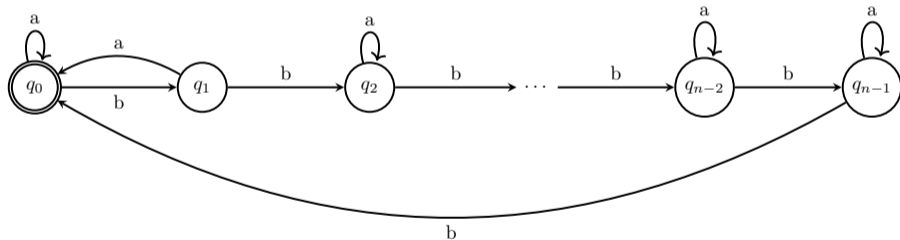
Theorem 2

Average state complexity of a language represented by an n -state k -DFA is at most $\frac{5}{8}2^n$.

Family with maximum languages

Lemma 3

For every $n \geq 2$ exists n -state family such that, all the languages of the family are pairwise distinguishable.

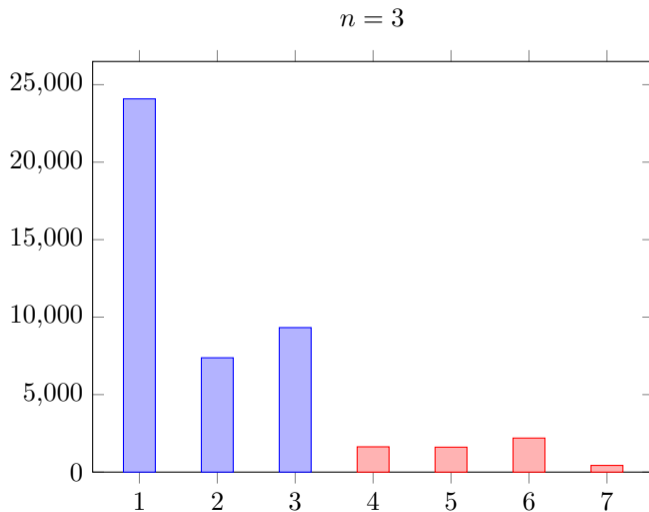


Summary and future work

- k DFA is a DFA except every state can be initial, similar as electrical (logical) circuit we can start it with different configurations
- better overall construction ($\mathcal{O}(2^{4n-1}n)$ vs. $\mathcal{O}(2^{2n})$)
- computational results - average s.c., distinct languages, number of distinct s.c.
- formal result - average s.c. exact formula, and other results
- existence of family with maximum number of languages for every n

Summary and future work

n	average s.c. (computations)	average s.c. (formula)
2	1.29	2.34
3	2.09	4.86
4	3.63	9.80
5	6.20	19.55
6	10.09	38.83
7	15.70	77.01



Thank you for your attention

Posledná veta kapitoly 1.1: Vysvetlite prečo ste sa rozhodli neodstraňovať nedosiahnuteľné stavy. Má to nejaký vplyv na zistené priemerné zložitosti?

as described in [6], has been adopted with minor modifications. Notably, during the employment of the complete subset construction methodology, no *unreachable* state is being removed.

Questions

Očakávate nejaké zmeny v priemernej zložitosti pre jazyky nad inou ako binárnou vstupnou abecedou?

states\alphabet size	1	2	3	4	5
2	1,15	1,39	1,42	1,45	1,47
3	1,34	2,25	2,69	3,06	
4	1,50	3,81			

Questions

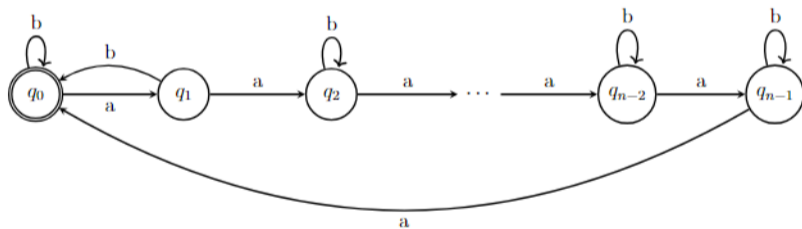
Strana 33, Veta 4.3: Mal by byť nejaký zásadný rozdiel pre priemernú stavovú zložitosť v prípade, že je n nepárne, alebo rozdiel oproti párnemu n bude iba typu „ \pm konštanta“?

Theorem 4.3 *Let $n \geq 5$ be odd number, then average state complexity of a language represented by an n -state k DFA is at most $5/8 \times 2^n$.*

n	actual average s.c.	average s.c. computed by formula	approximation(2^n)
2	1.39	2.34	4
3	2.25	4.86	8
4	3.81	9.80	16
5	6.37	19.55	32
6	10.24	38.83	64
7	15.81	77.01	128

Questions

Poznámka: Vetu 4.4 je možné veľmi ľahko zovšeobecniť z binárnych regulárnych jazykov na regulárne jazyky s ľubovoľnou inou vstupnou abecedou, vrátane unárnej.



Ako to vyzerá s priemernou stavovou zložitou pre deterministické rodiny automatov – t.j., ak nemáme pre danú rodinu automatov na výber celú podmnožinu počiatočných stavov ale len jediný počiatočný stav?

Lemma 4.1 [5] *The minimal deterministic finite automaton accepting $L(M_{l,n})$ has $\sum_{i=1}^l \binom{n}{i}$ states.*